

CRF Errors Corrected by the STIC Systems Branch

CRF Processing Date: 2/12/2003
 Edited by: [Signature]
 Verified by: [Signature] (STIC staff)

Serial Number: 10/063,557

ENTERED

7

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: _____
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other _____
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: _____
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: _____
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: _____
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: _____
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: _____
- ☒ Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file;
☐ page numbers throughout text; ☐ other invalid text, such as _____
- ☐ Inserted mandatory headings, specifically: _____
- ☐ Corrected an obvious error in the response, specifically: _____
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: _____
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: _____
- ☐ Other: _____

*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

3/1/95



OIPE

RAW SEQUENCE LISTING

DATE: 02/12/2003

PATENT APPLICATION: US/10/063,557

TIME: 08:48:20

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

3 <110> APPLICANT: Genentech, Inc.
4 Eaton, Dan L.
5 Filvaroff, Ellen
6 Gerritsen, Mary E.
7 Goddard, Audrey
8 Godowski, Paul J.
9 Grimaldi, Christopher J.
10 Gurney, Austin L.
11 Watanabe, Colin K.
12 Wood, William I.
14 <120> TITLE OF INVENTION: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
15 ACIDS ENCODING THE SAME
17 <130> FILE REFERENCE: GNE.3230R1C39
19 <140> CURRENT APPLICATION NUMBER: US 10/063,557
20 <141> CURRENT FILING DATE: 2002-05-02
22 <150> PRIOR APPLICATION NUMBER: PCT/US00/23328
23 <151> PRIOR FILING DATE: 2000-08-24
25 <150> PRIOR APPLICATION NUMBER: PCT/US99/20111
26 <151> PRIOR FILING DATE: 1999-09-01
28 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090
29 <151> PRIOR FILING DATE: 1999-09-15
31 <150> PRIOR APPLICATION NUMBER: US 60/169,495
32 <151> PRIOR FILING DATE: 1999-12-07
34 <150> PRIOR APPLICATION NUMBER: US 60/170,262
35 <151> PRIOR FILING DATE: 1999-12-09
37 <150> PRIOR APPLICATION NUMBER: US 60/175,481
38 <151> PRIOR FILING DATE: 2000-01-11
40 <150> PRIOR APPLICATION NUMBER: PCT/US00/04341
41 <151> PRIOR FILING DATE: 2000-02-18
43 <150> PRIOR APPLICATION NUMBER: PCT/US00/04342
44 <151> PRIOR FILING DATE: 2000-02-18
46 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414
47 <151> PRIOR FILING DATE: 2000-02-22
49 <150> PRIOR APPLICATION NUMBER: PCT/US00/05601
50 <151> PRIOR FILING DATE: 2000-03-01
52 <150> PRIOR APPLICATION NUMBER: US 60/187,202
53 <151> PRIOR FILING DATE: 2000-03-03
55 <150> PRIOR APPLICATION NUMBER: US 60/191,007
56 <151> PRIOR FILING DATE: 2000-03-21
58 <150> PRIOR APPLICATION NUMBER: PCT/US00/08439
59 <151> PRIOR FILING DATE: 2000-03-30
61 <150> PRIOR APPLICATION NUMBER: US 60/199,397
62 <151> PRIOR FILING DATE: 2000-04-25

RAW SEQUENCE LISTING

DATE: 02/12/2003

PATENT APPLICATION: US/10/063,557

TIME: 08:48:20

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

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65 <151> PRIOR FILING DATE: 2000-05-22
69 <150> PRIOR APPLICATION NUMBER: US 60/209,832
70 <151> PRIOR FILING DATE: 2000-06-05
72 <160> NUMBER OF SEQ ID NOS: 170
74 <210> SEQ ID NO: 1
75 <211> LENGTH: 1173
76 <212> TYPE: DNA
77 <213> ORGANISM: Homo Sapien
79 <400> SEQUENCE: 1
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82 aaaggtgcag gtatgagcag gtctgaagac taacattttg tgaagttgta 100
84 aaacagaaaa cctgttagaa atgtggtggt ttcagcaagg cctcagtttc 150
86 ctcccttcag cccttgtaat ttggacatct gctgctttca tattttcata 200
88 cattactgca gtaacactcc accatataga cccggcttta ccttatatca 250
90 gtgacactgg tacagtagct ccagaaaaat gcttatttgg ggcaatgcta 300
92 aatattgcgg cagttttatg cattgctacc atttatgttc gttataagca 350
94 agttcatgct ctgagtcctg aagagaacgt tatcatcaaa ttaaacaagg 400
96 ctggccttgt acttggaaata ctgagttgtt taggactttc tattgtggca 450
98 aacttccaga aaacaaccct tttgctgca catgtaagtg gagctgtgct 500
100 tacctttggg atgggctcat tatatatgtt tggtcagacc atcctttcct 550
102 accaaatgca gcccaaaatc catggcaaac aagtcttctg gatcagactg 600
104 ttgttggtta tctggtgtgg agtaagtgca cttagcatgc tgacttgctc 650
106 atcagttttg cacagtggca attttgggac tgatttagaa cagaaactcc 700
108 attggaacc caggacaaa ggttatgtgc ttcacatgat cactactgca 750
110 gcagaatggt ctatgtcatt ttccttcttt gggtttttcc tgacttacat 800
112 tegtgtattt cagaaaattt ctttacgggt ggaagccaat ttacatggat 850
114 taacctcta tgacactgca ccttgcccta ttaacaatga acgaacacgg 900
116 ctactttcca gagatatttg atgaaaggat aaaatatctc tgtaatgatt 950
118 atgattctca gggattggg aaaggttcac agaagttgct tattcttctc 1000
120 tgaaattttc aaccacttaa tcaaggctga cagtaacact gatgaatgct 1050
122 gataatcagg aaacatgaaa gaagccattt gatagattat tctaaaggat 1100
124 atcatcaaga agactattaa aaacacctat gcctatactt ttttatctca 1150
126 gaaaataaag tcaaaagact atg 1173
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129 <211> LENGTH: 266
130 <212> TYPE: PRT
131 <213> ORGANISM: Homo Sapien
134 <400> SEQUENCE: 2
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136 1 5 10 15
138 Val Ile Trp Thr Ser Ala Ala Phe Ile Phe Ser Tyr Ile Thr Ala
139 20 25 30
141 Val Thr Leu His His Ile Asp Pro Ala Leu Pro Tyr Ile Ser Asp
142 35 40 45
144 Thr Gly Thr Val Ala Pro Glu Lys Cys Leu Phe Gly Ala Met Leu
145 50 55 60
147 Asn Ile Ala Ala Val Leu Cys Ile Ala Thr Ile Tyr Val Arg Tyr
148 65 70 75

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RAW SEQUENCE LISTING

DATE: 02/12/2003

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TIME: 08:48:20

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

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151                               80                               85                               90
153 Leu Asn Lys Ala Gly Leu Val Leu Gly Ile Leu Ser Cys Leu Gly
154                               95                               100                               105
156 Leu Ser Ile Val Ala Asn Phe Gln Lys Thr Thr Leu Phe Ala Ala
157                               110                              115                              120
159 His Val Ser Gly Ala Val Leu Thr Phe Gly Met Gly Ser Leu Tyr
160                               125                              130                              135
162 Met Phe Val Gln Thr Ile Leu Ser Tyr Gln Met Gln Pro Lys Ile
163                               140                              145                              150
165 His Gly Lys Gln Val Phe Trp Ile Arg Leu Leu Leu Val Ile Trp
166                               155                              160                              165
168 Cys Gly Val Ser Ala Leu Ser Met Leu Thr Cys Ser Ser Val Leu
169                               170                              175                              180
171 His Ser Gly Asn Phe Gly Thr Asp Leu Glu Gln Lys Leu His Trp
172                               185                              190                              195
174 Asn Pro Glu Asp Lys Gly Tyr Val Leu His Met Ile Thr Thr Ala
175                               200                              205                              210
177 Ala Glu Trp Ser Met Ser Phe Ser Phe Phe Gly Phe Phe Leu Thr
178                               215                              220                              225
180 Tyr Ile Arg Asp Phe Gln Lys Ile Ser Leu Arg Val Glu Ala Asn
181                               230                              235                              240
183 Leu His Gly Leu Thr Leu Tyr Asp Thr Ala Pro Cys Pro Ile Asn
184                               245                              250                              255
186 Asn Glu Arg Thr Arg Leu Leu Ser Arg Asp Ile
187                               260                              265
189 <210> SEQ ID NO: 3
190 <211> LENGTH: 2037
191 <212> TYPE: DNA
192 <213> ORGANISM: Homo Sapien
194 <400> SEQUENCE: 3
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199 cctgggagaa ggcagaccgt gtgagggggc ctgtggcccc agcgtgctgt 100
201 ggccctcgggg agtgggaagt ggaggcagga gccttcctta cacttcgcca 150
203 tgagtttctt catcgactcc agcatcatga ttacctccca gatactattt 200
205 tttggatttg ggtggtttt ctcatgcgc caattgttta aagactatga 250
207 gatacgtcag tatgttgtae aggtgatctt ctccgtgacg tttgcatttt 300
209 cttgcacccat gtttgagctc atcatctttg aaatcttagg agtattgaat 350
211 agcagctccc gttattttca ctggaaaatg aacctgtgtg taattctgct 400
213 gatactgggt ttcatgggtc ctttttacat tggctatttt attgtgagca 450
215 atatccgact actgcataaa caacgactgc ttttttctg tctcttatgg 500
217 ctgaccttta tgtatttctt ctggaaacta ggagatccct tcccattct 550
219 cagcccaaaa catgggatct tatccataga acagctcacc agccgggttg 600
221 gtgtgatttg agtgactctc atggctcttc ttcttggtt tgggtgctgc 650
223 aactgcccac aactttacat gtcttacttc ctccaggaat tgactgacac 700
225 ggatatttct gccctggaac ggagactgct gcaaaccatg gatatgatca 750
227 taagcaaaaa aaaaaggatg gcaatggcac gaagaacaat attccagaaa 800
229 ggggaagtgc ataacaaacc atcaggttct tggggaatga taagaagtgt 850
231 taccacttca gcatcaggaa gtgaaaatct tactcttatt caacaggaaq 900

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RAW SEQUENCE LISTING

DATE: 02/12/2003

PATENT APPLICATION: US/10/063,557

TIME: 08:48:20

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

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233  tggatgcttt ggaagaatta agcaggcagc tttttctgga aacagctgat 950
235  ctatatgcta ccaaggagag aatagaatac tccaaaacct tcaaggggaa 1000
237  atattttaat tttcttggtt actttttctc tatttactgt gtttggaata 1050
239  ttttcatggc taccatcaat attgtttttg atcgagttgg gaaaacggat 1100
241  cctgtcacia gaggcattga gatcactgtg aattatctgg gaatccaatt 1150
243  tgatgtgaag ttttggtccc aacacatttc cttcattctt gttggaataa 1200
245  tcacgtcac atccatcaga ggattgctga tcaactcttac caagttcttt 1250
247  tatgccatct ctagcagtaa gtcctccaat gtcattgtcc tgctattagc 1300
249  acagataatg ggcattgact ttgtctctc tgtgctgctg atccgaatga 1350
251  gtatgccttt agaataccgc accataatca ctgaagtcct tggagaactg 1400
253  cagttcaact tctatcaccc ttggtttgat gtgatcttcc tggtcagcgc 1450
255  tctctctagc atactcttcc tctatttggc tcacaaacag gcaccagaga 1500
257  agcaaattggc accttgaact taagcctact acagactgtt agaggccagt 1550
259  ggtttcaaaa tttagatata agagggggga aaaatggaac cagggcctga 1600
261  cattttataa acaaacaaaa tgctatggta gcatttttca ccttcatagc 1650
264  atactccttc cccgtcaggt gatactatga ccatgagtag catcagccag 1700
266  aacatgagag ggagaactaa ctcaagacaa tactcagcag agagcatccc 1750
268  gtgtggatat gaggctggtg tagaggcgga gaggagccaa gaaactaaag 1800
270  gtgaaaaata cactggaact ctggggcaag acatgtctat ggtagctgag 1850
272  ccaaacacgt aggatttccg ttttaagggt cacatggaaa aggttatagc 1900
274  tttgccttga gattgactca ttaaaatcag agactgtaac aaaaaaaaaa 1950
276  aaaaaaaaaa agggcgccgc cgactctaga gtcgacctgc agaagcttgg 2000
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280 <210> SEQ ID NO: 4

281 <211> LENGTH: 455

282 <212> TYPE: PRT

283 <213> ORGANISM: Homo Sapien

285 <400> SEQUENCE: 4

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289  Leu Phe Phe Gly Phe Gly Trp Leu Phe Phe Met Arg Gln Leu Phe
290              20             25             30
292  Lys Asp Tyr Glu Ile Arg Gln Tyr Val Val Gln Val Ile Phe Ser
293              35             40             45
295  Val Thr Phe Ala Phe Ser Cys Thr Met Phe Glu Leu Ile Ile Phe
296              50             55             60
298  Glu Ile Leu Gly Val Leu Asn Ser Ser Ser Arg Tyr Phe His Trp
299              65             70             75
301  Lys Met Asn Leu Cys Val Ile Leu Leu Ile Leu Val Phe Met Val
302              80             85             90
304  Pro Phe Tyr Ile Gly Tyr Phe Ile Val Ser Asn Ile Arg Leu Leu
305              95             100            105
307  His Lys Gln Arg Leu Leu Phe Ser Cys Leu Leu Trp Leu Thr Phe
308              110            115            120
310  Met Tyr Phe Phe Trp Lys Leu Gly Asp Pro Phe Pro Ile Leu Ser
311              125            130            135
313  Pro Lys His Gly Ile Leu Ser Ile Glu Gln Leu Ile Ser Arg Val
314              140            145            150
316  Gly Val Ile Gly Val Thr Leu Met Ala Leu Leu Ser Gly Phe Gly

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RAW SEQUENCE LISTING

DATE: 02/12/2003

PATENT APPLICATION: US/10/063,557

TIME: 08:48:20

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

317		155		160		165
319	Ala Val Asn Cys	Pro Tyr Thr Tyr Met	Ser Tyr Phe Leu Arg Asn			
320		170		175		180
322	Val Thr Asp Thr	Asp Ile Leu Ala Leu	Glu Arg Arg Leu Leu Gln			
323		185		190		195
325	Thr Met Asp Met	Ile Ile Ser Lys Lys	Lys Arg Met Ala Met Ala			
326		200		205		210
329	Arg Arg Thr Met	Phe Gln Lys Gly Glu	Val His Asn Lys Pro Ser			
330		215		220		225
332	Gly Phe Trp Gly	Met Ile Lys Ser Val	Thr Thr Ser Ala Ser Gly			
333		230		235		240
335	Ser Glu Asn Leu	Thr Leu Ile Gln Gln	Glu Val Asp Ala Leu Glu			
336		245		250		255
338	Glu Leu Ser Arg	Gln Leu Phe Leu Glu	Thr Ala Asp Leu Tyr Ala			
339		260		265		270
341	Thr Lys Glu Arg	Ile Glu Tyr Ser Lys	Thr Phe Lys Gly Lys Tyr			
342		275		280		285
344	Phe Asn Phe Leu	Gly Tyr Phe Phe Ser	Ile Tyr Cys Val Trp Lys			
345		290		295		300
347	Ile Phe Met Ala	Thr Ile Asn Ile Val	Phe Asp Arg Val Gly Lys			
348		305		310		315
350	Thr Asp Pro Val	Thr Arg Gly Ile Glu	Ile Thr Val Asn Tyr Leu			
351		320		325		330
353	Gly Ile Gln Phe	Asp Val Lys Phe Trp	Ser Gln His Ile Ser Phe			
354		335		340		345
356	Ile Leu Val Gly	Ile Ile Ile Val Thr	Ser Ile Arg Gly Leu Leu			
357		350		355		360
359	Ile Thr Leu Thr	Lys Phe Phe Tyr Ala	Ile Ser Ser Ser Lys Ser			
360		365		370		375
362	Ser Asn Val Ile	Val Leu Leu Leu Ala	Gln Ile Met Gly Met Tyr			
363		380		385		390
365	Phe Val Ser Ser	Val Leu Leu Ile Arg	Met Ser Met Pro Leu Glu			
366		395		400		405
368	Tyr Arg Thr Ile	Ile Thr Glu Val Leu	Gly Glu Leu Gln Phe Asn			
369		410		415		420
371	Phe Tyr His Arg	Trp Phe Asp Val Ile	Phe Leu Val Ser Ala Leu			
372		425		430		435
374	Ser Ser Ile Leu	Phe Leu Tyr Leu Ala	His Lys Gln Ala Pro Glu			
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378		455				
380	<210> SEQ ID NO: 5					
381	<211> LENGTH: 2372					
382	<212> TYPE: DNA					
383	<213> ORGANISM: Homo Sapien					
385	<400> SEQUENCE: 5					
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390	ctctcatat caccagtggc catctgaggt gttccctgg ctctgaaggg 150					

RAW SEQUENCE LISTING ERROR SUMMARY
PATENT APPLICATION: US/10/063,557

DATE: 02/12/2003
TIME: 08:48:21

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt
Output Set: N:\CRF4\02122003\J063557.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:73; N Pos. 1528



OIPE

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/063,557

DATE: 02/12/2003

TIME: 07:59:05

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt
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2 <110> APPLICANT: Genentech, Inc.
 3 Eaton, Dan I.
 4 Filvaroff, Ellen
 5 Gerritsen, Mary E.
 6 Goddard, Audrey
 7 Godowski, Paul J.
 8 Grimaldi, Christopher J.
 9 Gurney, Austin L.
 10 Watanabe, Colin F.
 11 Wood, William L.
 12 <120> TITLE OF INVENTION: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
 13 ACIDS ENCODING THE SAME
 14 <130> FILE REFERENCE: GNE.0706P1C39
 15 <140> CURRENT APPLICATION NUMBER: US 10/063,557
 16 <141> CURRENT FILING DATE: 2001-01-02
 17 <150> PRIORITY APPLICATION NUMBER: PCT/US00/00328
 18 <151> PRIORITY FILING DATE: 2000-08-24
 19 <150> PRIORITY APPLICATION NUMBER: PCT/US99/00111
 20 <151> PRIORITY FILING DATE: 1999-09-01
 21 <150> PRIORITY APPLICATION NUMBER: PCT/US99/01000
 22 <151> PRIORITY FILING DATE: 1999-09-15
 23 <150> PRIORITY APPLICATION NUMBER: US 60/169,495
 24 <151> PRIORITY FILING DATE: 1999-12-07
 25 <150> PRIORITY APPLICATION NUMBER: US 60/176,262
 26 <151> PRIORITY FILING DATE: 1999-12-09
 27 <150> PRIORITY APPLICATION NUMBER: US 60/176,481
 28 <151> PRIORITY FILING DATE: 1999-01-21
 29 <150> PRIORITY APPLICATION NUMBER: PCT/US99/04341
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 34 <151> PRIORITY FILING DATE: 1999-02-22
 35 <150> PRIORITY APPLICATION NUMBER: PCT/US99/05601
 36 <151> PRIORITY FILING DATE: 1999-03-01
 37 <150> PRIORITY APPLICATION NUMBER: US 60/187,202
 38 <151> PRIORITY FILING DATE: 2000-03-03
 39 <150> PRIORITY APPLICATION NUMBER: US 60/191,007
 40 <151> PRIORITY FILING DATE: 2000-03-03
 41 <150> PRIORITY APPLICATION NUMBER: PCT/US00/00439
 42 <151> PRIORITY FILING DATE: 2000-03-10
 43 <150> PRIORITY APPLICATION NUMBER: US 60/199,397
 44 <151> PRIORITY FILING DATE: 2000-04-25

Does Not Comply
 Corrected Diskette Needed

P.2

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/063,557

DATE: 02/12/2003

TIME: 07:59:05

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

64 (150) PRIOR APPLICATION NUMBER: PCT/US00/14042
65 (151) PRIOR FILING DATE: 2000-05-22
69 (150) PRIOR APPLICATION NUMBER: US 60/209,832
70 (151) PRIOR FILING DATE: 2000-06-05
72 (160) NUMBER OF SEQ ID NOS: 170

ERRORED SEQUENCES

12968 (110) SEQ ID NO: 170
12969 (111) LENGTH: 41
12970 (112) TYPE: DNA
12971 (113) ORGANISM: Artificial Sequence
12973 (120) FEATURE:
12974 (121) OTHER INFORMATION: Synthetic oligonucleotide probe
12976 (140) SEQUENCE: 170
12977 caggaaacag ctatgaccac ctgcacacct gcaaatccat t 41
E--> 12981 (148)

VERIFICATION SUMMARY

DATE: 02/12/2003

PATENT APPLICATION: US/10/063,557

TIME: 07:59:07

Input Set : N:\AMC\Sequence_Listing_as_filedP3230R1C39.txt

Output Set: N:\CRF4\02122003\J063557.raw

L:5838 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:73 after pos.:1500
L:12981 M:254 E: No. of Bases conflict, this line has no nucleotides.